

60 Rec'd PCT/10

06 OCT 2000

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| FORM PTO-1390<br>(REV 5-93)  |  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |  | ATTORNEY DOCKET NO.<br>P100564-00033                               |  |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371   |  |  |  | DATE: October 6, 2000  |  |
|  |  |  |  | U.S. APPLN. NO.<br>(IF KNOWN, SEE 37 CFR 1.51)<br><b>09/646892</b> |  |
| INTERNATIONAL APPLICATION NO.<br>PCT/EP99/02171  |  | INTERNATIONAL FILING DATE<br>30 March 1999                 |  | PRIORITY DATE CLAIMED<br>9 April 1998                              |  |
| TITLE OF INVENTION: T CELL RECEPTOR EXPRESSION CASSETTE  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US: Petra JANTZER   |  |  |  |  |  |
| <p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.<br/>(THE BASIC FILING FEE IS ATTACHED)</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11. to 16. below concern other document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.<br/><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: PCT/RO/101, PCT/ISA/210, PCT/IPEA/409,<br/>CHECK NO. 302139<br/>8 Sheets of Formal Drawings</p> |  |  |  |  |  |

U.S. APPLN. NO. (IF KNOWN, SEE 37 C.F.R. 1.50)

09/646892

INTERNATIONAL APPLICATION  
NO. PCT/EP99/02171

ATTORNEY DOCKET NO. P100564-00033

DATE: October 6, 2000

17. XX The following fees are submitted:**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO.....\$860.00  
 International preliminary examination fee paid to USPTO (37 CFR 1.492)....\$690.00  
 No international preliminary examination fee paid to USPTO (37 CFR 1.492) but  
 international search fee paid to USPTO (37 CFR 1.492(a)(2)).....\$710.00  
 Neither international preliminary examination fee (37 CFR 1.492) or international  
 search fee (37 CFR 1.492(a)(3)) paid to USPTO.....\$1,000.00  
 International preliminary examination fee paid to USPTO (37 CFR 1.492) and all  
 claims satisfied provisions of PCT Article 33(1)-(4) .....\$ 100.00

CALCULATIONS

PTO USE ONLY

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$860

Surcharge of \$130.00 for furnishing the oath or declaration later than \_ 20 \_ 30  
 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$00

Claims

Number Filed

Number Extra

Rate

Total Claims

- 20 =

X \$ 18.00

\$00

Independent Claims

- 3 =

00

X \$ 80.00

\$00

Multiple dependent claim(s) (if applicable)

+ \$270.00

\$00

**TOTAL OF ABOVE CALCULATIONS =**

\$860

Reduction by 1/2 for filing by small entity, if applicable.  
 Verified Small Entity statement must also be filed.  
 (Note 37 CFR 1.9, 1.27, 1.28).

\$00

**SUBTOTAL =**

\$860

Processing fee of \$130.00 for furnishing the English translation later the \_ 20 \_ 30  
 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$00

**TOTAL NATIONAL FEE =**

\$860

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must  
 be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per  
 property

\$00

**TOTAL FEES ENCLOSED =**

\$860

Amount to be refunded

\$

Charged

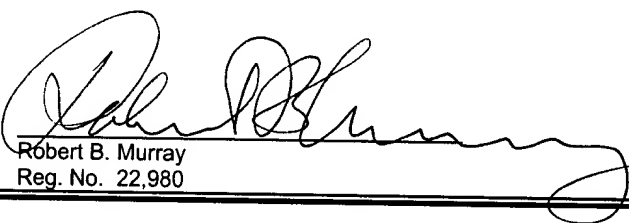
\$

a. XX A check in the amount of \$860 to cover the above fees is enclosed.b. \_ Please charge my Deposit Account No. 01-2300 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.c. XX The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-2300.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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 Robert B. Murray  
 Reg. No. 22,980

*patent application serial no.* **09 / 646892**

*Department of Commerce  
Patent and Trademark Office  
fee record*

10/11/2000 ERIMANDO 00000108 09646892

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